

SHAREHOLDER — TESTAMENTARY INHERITANCE

ANCSA Stock Inheritance Affidavit

This affidavit is filed with Emmonak Corporation after the death of a shareholder to establish the person or persons entitled to receive the deceased shareholder's Alaska Native Claims Settlement Act (ANCSA) stock. Alaska law (AS 13.16.705(a)) permits transfer of a decedent's personal property — including ANCSA shares — by affidavit when the estate qualifies as small, without formal probate.

FORM TYPE	Fillable · Notary optional
STATUTORY BASIS	43 U.S.C. § 1606(h) · AS 13.16.705
REVISION	1.0 · 2026-07-20

WHY YOU MUST FILE THIS FORM

If the deceased shareholder did **not** leave a valid Stock Will (SH-EMK-11) and does not have a testamentary disposition endorsed on the stock certificate, ANCSA and Alaska probate rules govern who inherits the stock. This affidavit gives Emmonak Corporation the sworn factual record needed to transfer the shares to the correct heir(s) under 43 U.S.C. § 1606(h) and AS 13.12.102.

Emmonak Corporation provides this form as an informational accommodation. It is not a substitute for legal advice. Depending on your circumstances you may benefit from having an Alaska-licensed attorney review the affidavit before submission.

A · APPLICABLE DISTRIBUTION RULES

The rules below describe who receives the deceased shareholder's ANCSA stock when there is no valid stock will. Use them to confirm you are the correct affiant before completing the form.

Surviving spouse only (no children, grandchildren, or other descendants): the spouse receives **100%** of the ANCSA stock.

Surviving spouse and descendants: the spouse receives **50%**; the descendants share the remaining **50%** equally (or per stirpes for predeceased children with surviving issue).

No spouse; children only: children share equally (or per stirpes).

No spouse or descendants; surviving parent(s): parents share equally.

No spouse, descendants, or parents: siblings share equally (or per stirpes).

No qualifying heirs: the stock **escheats** to the Village Corporation (Emmonak) under 43 U.S.C. § 1607(c) and AS 13.12.105.

Adopted children. Under 43 U.S.C. §§ 1602(r) and 1606(h)(1)(C)(iii), a child who was adopted out of the family before age 18 does **not** inherit ANCSA stock from a natural parent, but a child adopted in inherits the same as a natural child. Section F asks about adoption status for each child.

B · AFFIANT INFORMATION

The affiant is the person completing and signing this affidavit. You must have personal knowledge of the facts stated below.

AFFIANT FULL LEGAL NAME

PHONE

MAILING ADDRESS

EMAIL

RELATIONSHIP TO DECEASED (check one)

☐ Spouse

☐ Child

☐ Parent

☐ Sibling

☐ Grandchild

☐ Personal representative

OTHER (DESCRIBE):

C · DECEASED SHAREHOLDER IDENTIFICATION

FULL LEGAL NAME AT DEATH

FORMER / MAIDEN NAMES

DATE OF BIRTH (MM/DD/YYYY)

PLACE OF BIRTH

SSN (OPTIONAL)

DATE OF DEATH (MM/DD/YYYY)

PLACE OF DEATH

EMMONAK ENROLLMENT #

CALISTA (REGIONAL) ENROLLMENT #

OTHER ANC ENROLLMENT(S)

D · WILL / STOCK WILL STATUS

Indicate whether the deceased executed a will or stock will. If yes, attach a copy.

☐ None

☐ Unknown

☐ Testamentary clause on stock certificate

☐ Separate Stock Will (SH-EMK-11)

☐ Last will and testament

☐ Other (describe below)

IF YES, DESCRIBE THE WILL AND ITS LOCATION

E · MARITAL STATUS AND SPOUSE

MARITAL STATUS AT TIME OF DEATH (check one)

- ☐ Single (never married) ☐ Married ☐ Divorced
☐ Widowed ☐ Legally separated

If **married at death**, complete the surviving spouse block below.

SPOUSE FULL LEGAL NAME

DATE OF MARRIAGE

SPOUSE MAILING ADDRESS

SPOUSE NATIVE-DESCENDANT STATUS (check one)

- ☐ Native or descendant of a Native ☐ Not a Native descendant ☐ Unknown

F · CHILDREN OF THE DECEASED

List every child of the deceased — living or deceased, natural-born or adopted. Children who were **adopted out** before age 18 do **not** inherit ANCSA stock from a natural parent under 43 U.S.C. § 1606(h)(1)(C)(iii); list them anyway so the record is complete.

DID THE DECEASED HAVE ANY CHILDREN? (check one)

- ☐ Yes — list them below ☐ No

Child #1

FULL LEGAL NAME

DATE OF BIRTH

MAILING ADDRESS

PHONE

EMAIL

ADOPTION STATUS (NATURAL / ADOPTED-IN / ADOPTED-OUT)

- ☐ Living ☐ Deceased — describe in Section F-2

Child #2

FULL LEGAL NAME

DATE OF BIRTH

MAILING ADDRESS

PHONE

EMAIL

ADOPTION STATUS (NATURAL / ADOPTED-IN / ADOPTED-OUT)

- ☐ Living ☐ Deceased — describe in Section F-2

Child #3

FULL LEGAL NAME

DATE OF BIRTH

MAILING ADDRESS

PHONE

EMAIL

ADOPTION STATUS (NATURAL / ADOPTED-IN / ADOPTED-OUT)

☐ Living

☐ Deceased — describe in Section F-2

Child #4

FULL LEGAL NAME

DATE OF BIRTH

MAILING ADDRESS

PHONE

EMAIL

ADOPTION STATUS (NATURAL / ADOPTED-IN / ADOPTED-OUT)

☐ Living

☐ Deceased — describe in Section F-2

If any child listed above is deceased and left surviving children (grandchildren of the deceased shareholder), continue with Section F-2.

If more than four children, attach a supplemental page in the same format.

F-2 · GRANDCHILDREN VIA A PREDECEASED CHILD (per stirpes)

Complete this section **only if** one or more of the deceased shareholder's children died before the deceased shareholder and left surviving children of their own. Under Alaska probate law (AS 13.12.106) the grandchildren step into their predeceased parent's share.

Grandchild #1

FULL LEGAL NAME

WHICH PREDECEASED CHILD IS THEIR PARENT?

DATE OF BIRTH

MAILING ADDRESS

IF MINOR: PROPOSED CUSTODIAN (PER AS 13.46.085)

Grandchild #2

FULL LEGAL NAME

WHICH PREDECEASED CHILD IS THEIR PARENT?

DATE OF BIRTH

MAILING ADDRESS

IF MINOR: PROPOSED CUSTODIAN (PER AS 13.46.085)

Grandchild #3

FULL LEGAL NAME

WHICH PREDECEASED CHILD IS THEIR PARENT?

DATE OF BIRTH

MAILING ADDRESS

IF MINOR: PROPOSED CUSTODIAN (PER AS 13.46.085)

G · PARENTS (only if no surviving spouse or children)

Mother

FULL LEGAL NAME

LIVING / DECEASED

MAILING ADDRESS (IF LIVING)

ADOPTIVE? (YES/NO)

Father

FULL LEGAL NAME

LIVING / DECEASED

MAILING ADDRESS (IF LIVING)

ADOPTIVE? (YES/NO)

H · SIBLINGS (only if no spouse, children, or parents)

Sibling #1

FULL LEGAL NAME

LIVING / DECEASED

MAILING ADDRESS (IF LIVING)

NATIVE-DESCENDANT? (Y/N/UNKNOWN)

Sibling #2

FULL LEGAL NAME

LIVING / DECEASED

MAILING ADDRESS (IF LIVING)

NATIVE-DESCENDANT? (Y/N/UNKNOWN)

Sibling #3

FULL LEGAL NAME

LIVING / DECEASED

MAILING ADDRESS (IF LIVING)

NATIVE-DESCENDANT? (Y/N/UNKNOWN)

I · PRIOR LIFETIME TRANSFERS OF DECEDENT'S ANCSA STOCK

Under 43 U.S.C. § 1606(h)(1)(C), ANCSA stock may sometimes be transferred during a shareholder's lifetime (e.g., a court decree, professional-restriction transfer, or a permitted inter vivos gift). Any stock already transferred during life does not pass by this affidavit. Check all that apply.

- | | |
|--|--|
| ☐ No prior lifetime transfers | ☐ Court decree (divorce, child support, etc.) |
| ☐ Professional-restriction transfer | ☐ Inter vivos gift permitted under 43 U.S.C. § 1606(h)(1)(C)(i) |
| ☐ Multiple — describe below | |

DESCRIBE ANY PRIOR TRANSFERS, DATES, AND RECIPIENTS

J · SUPPORTING DOCUMENTS

Attach copies of the following documents. Emmonak Corporation cannot transfer the ANCSA stock without at minimum a certified death certificate or a published obituary.

- | |
|--|
| ☐ Certified death certificate OR published obituary (required) |
| ☐ Adoption decree(s) / court order(s) — if any child is adopted in or out |
| ☐ Other supporting documents (attach) |

K · EXECUTION

Choose one of the three execution methods below. All three are legally sufficient for this affidavit. If you cannot access a notary, Alaska law provides two alternatives.

Method 1 · Notary Public

Sign in the presence of a notary. The notary completes the block below.

STATE

BOROUGH / JUDICIAL DISTRICT

DATE NOTARIZED

NOTARY PRINTED NAME

COMMISSION EXPIRES

Notary signature and seal:

Method 2 · U.S. Postmaster acknowledgment

For shareholders without practical access to a notary, U.S. Postal Service policy allows a postmaster or postal officer to witness a signature. Sign in the presence of the postmaster; the postmaster completes the block below.

POSTMASTER PRINTED NAME

POST OFFICE ADDRESS

DATE WITNESSED

USPS DATE STAMP (AFFIX BELOW)

Method 3 · Unsworn Declaration under AS 09.63.020 (no notary required)

Alaska Statute 09.63.020 permits an unsworn written declaration signed under penalty of perjury in place of a sworn affidavit. This method is available for shareholders who submit electronically through the Emmonak online form. Read the declaration below and sign.

STATUTORY DECLARATION (AS 09.63.020)

I declare under penalty of perjury under the laws of the State of Alaska that all statements made in this affidavit are true and correct to the best of my knowledge and belief. Executed at the location and on the date shown below.

EXECUTED AT (CITY, STATE)

DATE

Affiant signature and printed name

Affiant signature

Date

AFFIANT PRINTED NAME

Required Acknowledgements

- ☐ I certify the facts stated in this affidavit are true to my personal knowledge.
- ☐ I understand that a false statement may subject me to civil and criminal penalties for perjury.
- ☐ I understand that this affidavit concerns Alaska Native Claims Settlement Act (ANCSA) stock, which is subject to federal and state restrictions.
- ☐ I understand that Emmonak Corporation's transfer of stock under this affidavit is based on the facts I have provided and is not a legal determination of my rights against other potential heirs.
- ☐ I have attached a certified death certificate or published obituary.

Section L · Corporation Use Only**SHAREHOLDER RECORDS CUSTODIAN**

Received on (date): _____ · Received by: _____ · Title: _____

Determination of eligible heir(s) under AS 13.16.705(a) / 13.16.685 and 43 U.S.C. § 1606(h):

Custodian signature: _____ · Date: _____